



# Effect of Data Weighting Methods on the Performance of Fuzzy Classification Systems

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**Abstract** - In this paper, we examine the performance of fuzzy rule-based systems for pattern classification problems. We assume that each training pattern has a weight that corresponds to the importance of the pattern. A fuzzy classification system is constructed by generating fuzzy if-then rules from the weighted training patterns. We consider three weighting methods: We first consider a random weighting method that assigns a random value to each of training patterns. Next a class-based weighting method is considered where weights are determined depending on the class of training patterns. The third one is an overlap-based weighting method where weights reflect the degree of overlap between different classes. We use several real-world data sets as classification problems in the computer simulations in this paper. In the construction of fuzzy classification systems, we use two fuzzy rule-generation methods. One method determines the consequent class of fuzzy if-then rules only from the class information of compatible patterns. In the other method, weights of compatible patterns to fuzzy if-then rules are also used together with the class information. We show the advantages and disadvantages of the three weighting methods. The effect of the weighting methods on the generalization ability of fuzzy classifications is also presented.

## I. INTRODUCTION

Fuzzy rule-based systems have been applied mainly to control problems [1, 2, 3]. One advantage of a fuzzy rule-based system is its interpretability. Recently fuzzy rule-based systems have also been applied to pattern classification problems. In the early application of fuzzy systems, fuzzy if-then rules are generated by interviewing domain experts. Thus fuzzy if-then rules are a form of knowledge representation of the domain experts. There are many approaches to the automatic generation of fuzzy if-then rules from numerical data for pattern classification problems. Genetic algorithms have also been used for generating fuzzy if-then rules for pattern classification [4, 5, 6, 7]. In the automatic generation of fuzzy if-then rules, generated fuzzy if-then rules can be viewed as the behavior of a system rather than the domain knowledge.

Generally a lot of works on pattern classification consider all training patterns equally. In this case the importance of all training patterns is the same. On the other hand, there is a possibility that the classification performance is improved by differentiating the importance of each training pattern. For example, let us assume that we have to focus on correctly classifying Class 1 patterns rather than Class 2 ones. Another

example is that the generalization ability of classification systems can be improved by using only training patterns that are near classification boundaries. Nakashima et al.[8] showed that the classification performance of fuzzy rule-based systems is improved by selecting patterns that are in overlapped areas between different classes.

In this paper, we examine the effect of weight assignment on the performance of fuzzy rule-based classification systems. We propose two weight assignment methods. One method assigns a weight based on which class a training pattern comes from. The other method assigns a weight by considering neighborhood patterns. Fuzzy classification systems are constructed from training patterns whose weights are assigned by the proposed weight assigning method.

## II. FUZZY RULE-BASED CLASSIFICATION

### A. Pattern Classification Problems

Various methods have been proposed for fuzzy classification [9, 10, 11, 12, 13, 14]. Let us assume that our pattern classification problem is an  $n$ -dimensional problem with  $M$  classes and  $m$  given training patterns  $\mathbf{x}_p = (x_{p1}, x_{p2}, \dots, x_{pn})$ ,  $p = 1, 2, \dots, m$ . Without loss of generality, each attribute of the given training patterns is normalized into a unit interval  $[0, 1]$ . That is, the pattern space is an  $n$ -dimensional unit hypercube  $[0, 1]^n$  in our pattern classification problem.

In this study we use fuzzy if-then rules of the following type as a base of our fuzzy rule-based classification systems:

$$\begin{aligned} \text{Rule } R_j : & \text{ If } x_1 \text{ is } A_{j1} \text{ and } \dots \text{ and } x_n \text{ is } A_{jn} \\ & \text{ then Class } C_j \text{ with } CF_j, \quad j = 1, 2, \dots, N, \quad (1) \end{aligned}$$

where  $R_j$  is the label of the  $j$ -th fuzzy if-then rule,  $A_{j1}, \dots, A_{jn}$  are antecedent fuzzy sets on the unit interval  $[0, 1]$ ,  $C_j$  is the consequent class (i.e. one of the  $C$  given classes),  $CF_j$  is the grade of certainty of the fuzzy if-then rule  $R_j$ , and  $N$  is the total number of fuzzy if-then rules. As antecedent fuzzy sets, we use triangular fuzzy sets as in Fig. 1 where we show various partitions of the unit interval into a number of fuzzy sets.

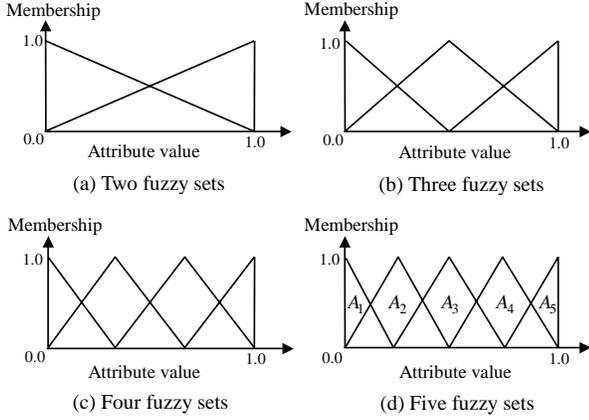


Fig. 1 An example of antecedent fuzzy

### B. Generating Fuzzy If-Then Rules

In our fuzzy rule-based classification systems, we specify the consequent class and the grade of certainty of each fuzzy if-then rule from the given training patterns [11]. In [15] it is shown that the use of the grade of certainty in fuzzy if-then rules allows us to generate comprehensible fuzzy rule-based classification systems with high classification performance.

The consequent class  $C_j$  and the grade of certainty  $CF_j$  of fuzzy if-then rule are determined in the following manner:

#### [Generation procedure of fuzzy if-then rule]

Step 1: Calculate  $\beta_{\text{Class } h}(R_j)$  for Class  $h$  as

$$\beta_{\text{Class } h}(R_j) = \sum_{\mathbf{x}_p \in \text{Class } h} \mu_{j1}(x_{p1}) \cdots \mu_{jn}(x_{pn}),$$

$$h = 1, 2, \dots, C, \quad (2)$$

where  $\mu_{ji}(\cdot)$ ,  $i = 1, 2, \dots, n$ , is the membership function of the fuzzy set  $A_{ji}$ .

Step 2: Find Class  $\hat{h}$  that has the maximum value of  $\beta_{\text{Class } h}(R_j)$ :

$$\beta_{\text{Class } \hat{h}}(R_j) = \max\{\beta_{\text{Class } 1}(R_j), \dots, \beta_{\text{Class } C}(R_j)\}. \quad (3)$$

If two or more classes take the maximum value, the consequent class  $C_j$  of the rule  $R_j$  can not be determined uniquely. In this case, specify  $C_j$  as  $C_j = \emptyset$ . If a single class takes the maximum value, let  $C_j$  be Class  $\hat{h}$ . The grade of certainty  $CF_j$  is determined as

$$CF_j = \frac{\beta_{\text{Class } \hat{h}}(R_j) - \bar{\beta}}{\sum \beta_{\text{Class } h}(R_j)}, \quad (4)$$

where

$$\bar{\beta} = \frac{\sum_{h \neq \hat{h}} \beta_{\text{Class } h}(R_j)}{c - 1}. \quad (5)$$

The number of fuzzy if-then rules in a fuzzy rule-based classification system depends on how each attribute is partitioned into fuzzy subsets. For example, when we divide each attribute into three fuzzy subsets in a ten-dimensional pattern classification problem, the total number of fuzzy if-then rules is  $3^{10} = 59049$ . This is what is called *the curse of dimensionality*. The grade of certainty  $CF_j$  can be adjusted by a learning algorithm [9].

### C. Fuzzy Reasoning

By the rule generation procedure in the last subsection, we can generate  $N$  fuzzy if-then rules in (1). After both the consequent class  $C_j$  and the grade of certainty  $CF_j$  are determined for all  $N$  rules, a new pattern  $\mathbf{x}$  is classified by the following procedure:

#### [Fuzzy reasoning procedure for classification]

Step 1: Calculate  $\alpha_{\text{Class } h}(\mathbf{x})$  for Class  $h$ ,  $j = 1, 2, \dots, C$ , as

$$\alpha_{\text{Class } h}(\mathbf{x}) = \max\{\mu_j(\mathbf{x}) \cdot CF_j \mid C_j = \text{Class } h\},$$

$$h = 1, 2, \dots, C, \quad (6)$$

where

$$\mu_j(\mathbf{x}) = \mu_{j1}(x_1) \cdots \mu_{jn}(x_n). \quad (7)$$

Step 2: Find Class  $h'$  that has the maximum value of  $\alpha_{\text{Class } h}(\mathbf{x})$ :

$$\alpha_{\text{Class } h'}(\mathbf{x}) = \max\{\alpha_{\text{Class } 1}(\mathbf{x}), \dots, \alpha_{\text{Class } C}(\mathbf{x})\}. \quad (8)$$

If two or more classes take the maximum value, then the classification of  $\mathbf{x}$  is rejected (i.e.  $\mathbf{x}$  is left as an unclassifiable pattern), otherwise assign  $\mathbf{x}$  to Class  $h'$ .

Note that only one fuzzy if-then rule is used in the final classification of an unknown pattern.

### III. FUZZY RULE-GENERATION FROM WEIGHTED TRAINING PATTERNS

In this section we show a fuzzy rule-generation method from weighted training patterns. This method is used as a comparison with the conventional fuzzy rule-generation method that is described in Section II. First we explain the role of weights and then we present how fuzzy if-then rules are generated from weighted training patterns.

#### A. Concept of Weight

As an example let us consider a medical diagnosis of cancer. There are two kinds of misclassification in the diagnosis. One is the case where a person is diagnosed as having cancer while he/she does not. The other case is that a person with cancer is classified as not having cancer. Although the misclassification should be as small as possible in both cases, the latter misclassification should be treated more seriously than the former case. In this paper we use weights to tackle this problem. A weight of a training pattern can be viewed as the importance of its classification. Correct classification of important patterns with a large weight is more crucial than that of non-important patterns with a small weight.

#### B. Cost Function

The weight of misclassified/rejected patterns is considered as a cost of misclassification or rejection. Thus, our objective here is to construct a fuzzy classification system  $S$  that minimizes the following cost function:

$$Cost(S) = \sum_{p=1}^m w_p \cdot z_p(S), \quad (9)$$

where  $Cost(S)$  is the cost of misclassification/rejection made by a fuzzy classification system  $S$ ,  $m$  is the number of training patterns,  $w_p$  is the weight of the training pattern  $\mathbf{x}_p$ , and  $z_p(S)$  is a binary variable that is determined according to the classification result of the training pattern  $\mathbf{x}_p$  by  $S$ :  $z_p(S) = 0$  if  $\mathbf{x}_p$  is correctly classified by  $S$ , and  $z_p(S) = 1$  otherwise.

#### C. Generating Fuzzy If-Then Rules from Weighted Training Patterns

Let us assume that we have  $m$  training patterns  $\mathbf{x}_p = (x_{p1}, x_{p2}, \dots, x_{pn})$ ,  $p = 1, 2, \dots, m$ , and we also assume that a weight is given a priori for all training patterns. We modify the fuzzy rule generation procedure presented in

the last section to accommodate the weighted training patterns:

#### [Generation procedure of fuzzy if-then rule]

Step 1: Calculate  $\beta_{\text{Class } h}(R_j)$  for Class  $h$  ( $h = 1, \dots, C$ ) as

$$\beta_{\text{Class } h}(R_j) = \sum_{\mathbf{x}_p \in \text{Class } h} \mu_{j1}(x_{p1}) \cdots \mu_{jn}(x_{pn}) \cdot w_p, \quad h = 1, 2, \dots, C. \quad (10)$$

Step 2: Find Class  $\hat{h}$  that has the maximum value of  $\beta_{\text{Class } h}(R_j)$ :

$$\beta_{\text{Class } \hat{h}}(R_j) = \max\{\beta_{\text{Class } 1}(R_j), \dots, \beta_{\text{Class } C}(R_j)\}. \quad (11)$$

This procedure is the same as the one that is used in the last section except for (10). In order to focus on those training patterns with large weights, we include the weight in the calculation of the compatibility of training patterns with the antecedent part of fuzzy if-then rules when we determine the consequent class of the fuzzy if-then rule.

### IV. WEIGHT ASSIGNMENT

Since no weights are available in many classification problems, we propose two methods for weighting training patterns in order to make a synthetic situation where a weight is given to each training pattern. One is a class-based weighting method and the other is an overlap-based weighting method. In computer simulations in the next section, we show the effect of weight assignment methods on the performance of fuzzy classification systems.

#### A. Class-Based Weighting Method

The aim of the class-based weighting method is to make a bias toward the classification of patterns from a particular class. For example, if the bias is toward the classification of Class 1 patterns, classification systems are expected to correctly classify Class 1 patterns even if the number of misclassification/rejection is large for other classes. Medical diagnosis is an example appropriate for this weighting method.

In this weighting method, a weight for the pattern  $\mathbf{x}_p$  is determined by the following equation:

$$w_p = \begin{cases} 1.0 & \text{if class of } \mathbf{x}_p \text{ is to be emphasized,} \\ 0.5 & \text{otherwise.} \end{cases} \quad (12)$$

#### B. Overlap-Based Weighting Method

The aim of the overlap-based weighting method is to focus on overlapped areas between multiple classes. In order to determine the weights of given training patterns, we count the number of patterns from the same class in their neighborhood. Let us denote the neighborhood size as  $N_{\text{size}}$ . We examine  $N_{\text{size}}$  nearest patterns from each of given training patterns for determining the value of the weight. In the overlap-based weighting method we use the following equation to determine the weight of the  $p$ -th given pattern  $w_p$ :

$$w_p = \frac{N_p^{\text{same}}}{N_{\text{size}}} \quad (13)$$

where  $N_p^{\text{same}}$  is the number of given patterns from the same class as the  $p$ -th given pattern. The weight  $w_p$  of the  $p$ -th given pattern can be viewed as a measure of overlaps. That is, if the value of  $w_p$  is large, there are many patterns from the same class as  $p$ -th training pattern. On the other hand, the  $p$ -th given pattern is possibly an outlier if the value of  $w_p$  is low.

## V. COMPUTER SIMULATIONS

We examined the performance of the proposed method for eight real-world pattern classification problems that are available from the UCI machine learning repository. We show the details of the nine classification problems in Table 1.

Table 1 Classification problems

Data set	Attributes	Classes	Patterns
Balance scale	4	3	625
Breast cancer	9	2	683
CMC	9	3	1473
Glass	9	7	214
Haberman	3	2	306
Hayes roth	4	3	132
Iris	4	3	150
Wine	13	3	178

In the following subsections we show the comparison of performance between conventional fuzzy classification systems and the proposed fuzzy systems. As discussed the difference between the conventional and the proposed method is that fuzzy if-then rules are generated by using (2) in the case of the conventional fuzzy classification systems and by (10) in the case of the proposed one. In both cases, we partition each attribute of classification problems into three fuzzy sets (see Fig.1(b)). That is, the total number of fuzzy if-then rules generated for an  $n$ -dimensional pattern classification problem is  $3^n$ .

### A. Random Weighting

As preliminary experiments we examined the performance of the proposed method under the situation that weights of training patterns are randomly determined. A weight was determined by a uniform random number in the interval of  $[0, 1]$ . That is, we randomly assign the importance of classification of training patterns in this subsection.

From these randomly weighted training patterns we generate fuzzy if-then rules by using (10) to construct a fuzzy classification system. The classification ability of the system was examined for all given training patterns. We iterated the procedure 100 times. Note that we did not change the attribute values of training patterns but the value of their weights. That is, we examined the classification ability of fuzzy classification systems with 100 different sets of weights for training patterns. It should also be noted that the classification ability of the fuzzy classification that are generated by the conventional method (i.e., using (2)) is constant for the 100 iterations as the fuzzy rule-generation process does not consider the weights of training patterns.

We show the classification results of both the proposed and the conventional fuzzy classification systems in Table 2. Table 3 shows the cost of misclassification/rejection. From Table 2 and Table 3, we can see that the cost of misclassification/rejection is reduced by the proposed method while the number of correctly classified patterns by the proposed method is smaller than that by the conventional method. The reason of the reduction of the number of correctly classified patterns is that the fuzzy classification system constructed by the proposed method focuses on important training patterns with large weights.

Table 2 Classification results (Random weights)

Data set	Proposed	Conventional
Balance scale	91.5%	91.2%
Breast cancer	98.2%	98.2%
CMC	54.2%	55.4%
Glass	71.0%	72.0%
Haberman	73.8%	74.2%
Hayes roth	81.1%	86.4%
Iris	93.2%	94.0%
Wine	99.0%	98.9%

Table 3 Costs (Random weights)

Data set	Proposed	Conventional
Balance scale	24.5	27.3
Breast cancer	5.1	6.0
CMC	318.2	327.8
Glass	29.6	30.3
Haberman	39.8	39.5
Hayes roth	10.5	9.1
Iris	5.1	4.6
Wine	0.8	1.0

### B. Class-Based Weighting

In this subsection we examine the case where the weights of training patterns are determined by using the class-based weighting method. That is, in this weighting method it is assumed that there is a priority of classification. We use the Harberman and Breast cancer data sets because they are related to medical diagnosis and are hence suitable for this weighting method. Both are two-classification problems. First, we focus on Class 1 training patterns. That is, we set  $w_p = 1.0$  for Class 1 training patterns and  $w_p = 0.5$  for Class 2 patterns. Table 4 and Table 5 show the classification results and the cost of misclassification/rejection, respectively.

We show in Table 6 and Table 7 the performance of fuzzy classification systems in the case where Class 2 training patterns are more focused on than Class 1 training patterns. From Tables 4-7, we can see that the cost of misclassification/rejection is reduced by the proposed method.

Table 4 Classification results (Class 1 focused)

Data set	Proposed	Conventional
Breast cancer	98.4%	98.2%
Haberman	73.9%	74.2%

Table 5 Costs (Class 1 focused)

Data set	Proposed	Conventional
Breast cancer	7	9
Haberman	40	40.5

Table 6 Classification results (Class 2 focused)

Data set	Proposed	Conventional
Breast cancer	98.4%	98.2%
Haberman	77.5%	74.2%

Table 7 Costs (Class 2 focused)

Data set	Proposed	Conventional
Breast cancer	7.5	9
Haberman	62.5	78.9

### C. Overlap-Based Weighting

We examined the performance of our fuzzy classification systems for the case where overlap-based weighting method is used for training patterns. For each pattern classification problem, we specify the number of nearest training patterns as  $N_p^{\text{nearest}} = 50$ . That is, the proportion of the number of patterns from the same class to its 50 nearest patterns is used as the weight of the training pattern.

We examined the performance of the proposed method and the conventional one for all eight pattern classification problems from Table 1. Classification results and costs of misclassification/reject are given in Table 8 and Table 9. From these tables, we can see that the performance of the proposed

method is not better than that of the conventional one in terms of both classification results and the cost of misclassification/rejection. This is because many important training patterns with large weights are near the boundary area and it is difficult to correctly classify all important training patterns.

Next, we examined the performance for test patterns. We used only 20% of the weighted training patterns to construct the fuzzy classification system. The other 80% were used as test patterns. That is, these test patterns are used to check the performance of the system for correctly classifying unseen patterns and for minimizing the cost function for unseen patterns. We conducted this kind of computer simulations 100 times, i.e. 100 different 20%-80% partitions of weighted patterns were used. We show the result of these computer simulations in Table 10 and Table 11. From these tables we can see that the performance of the proposed fuzzy rule-generation method for test patterns was improved.

Table 8 Classification results (Overlap weighting)

Data set	Proposed	Conventional
Balance scale	90.9%	91.2%
Breast cancer	97.8%	98.2%
CMC	58.7%	60.8%
Glass	66.4%	72.0%
Haberman	74.2%	74.2%
Hayes roth	85.6%	86.4%
Iris	93.3%	94.0%
Wine	98.3%	98.9%

Table 9 Costs (Overlap weighting)

Data set	Proposed	Conventional
Balance scale	9.0	8.7
Breast cancer	2.3	2.4
CMC	189.6	184.2
Glass	17.8	15.9
Haberman	23.3	23.0
Hayes roth	7.1	6.8
Iris	4.4	3.8
Wine	1.7	1.3

Table 10 Classification results for test patterns

Data set	Proposed	Conventional
Balance scale	85.3%	84.3%
Breast cancer	93.8%	93.5%
CMC	45.3%	42.8%
Glass	57.4%	56.5%
Haberman	73.7%	73.6%
Hayes roth	43.0%	41.6%
Iris	91.1%	92.1%
Wine	89.1%	87.5%

Table 11 Costs for test patterns

Data set	Proposed	Conventional
Balance scale	23.9	27.5
Breast cancer	19.7	21.5
CMC	219.5	240.7
Glass	20.3	21.7
Haberman	18.1	18.6
Hayes roth	23.3	24.2
Iris	5.2	4.6
Wine	9.7	12.0

## VI. CONCLUSIONS

In this paper we examined the performance of fuzzy classification system under the condition that a weight is assigned to each training pattern. We proposed two kinds of weight assigning methods. One is a class-based weighting method where the weights of training patterns are determined by their class. This method can be used when different misclassifications should be distinguished or when patterns from some particular class should be focused on. The other method for weighting patterns is an overlap-based weighting method. This method can be used to focus on vague patterns that are placed near boundary areas and to obtain high generalization ability.

Weights of patterns can be viewed as the grade of importance in the classification. The weights were treated as the costs of training misclassification/rejection. We formulate the problem of constructing classification problems from weighted training patterns as minimization of a cost function. Fuzzy if-then rules are generated by first specifying the antecedent fuzzy sets and then determining the consequent class and grade of certainty. The determination of the consequent class and the grade of certainty of a fuzzy if-then rule are done by using compatible training patterns with the antecedent part of the fuzzy if-then rule. We also showed a fuzzy rule-generation method that explicitly incorporates weights of training patterns in the determination process of the consequent class and the grade of certainty.

In computer simulations, we examined the performance of several fuzzy classification problems. We compared the performance of the proposed method and that of the conventional method. It was shown that the cost of misclassification/rejection was reduced by the proposed method.

Future work will incorporate a learning method of the grade of certainty. Since the determination of the grade of certainty is heuristically determined, it has to be optimized. We can consider two kinds of optimization for the learning of the grade of certainty. One is the optimization of the classification ability. That is, we can modify the grade of certainty so that the classification rate is maximized. In this case, the optimization function is formulated based on the number of correctly classified training patterns. This method

is related to the learning method for the conventional fuzzy rule-generation method (see [9]). The other is the optimization of the cost of misclassification/rejection. That is, the modification of the grade of certainty is performed to minimize the cost. The decision of which optimization is used is dependent on the users' choice in real-application.

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